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+

PTO/SB/05 (08-00)

<b>UTILITY PATENT APPLICATION TRANSMITTAL</b> (Only for new nonprovisional applications under 37 CFR 1.53(b))	<i>Attorney Docket No.</i>	<b>066259.010</b>
	<i>First Named Inventor or Application identifier</i>	<b>Purkey</b>
ADDRESS TO: Commissioner of Patents Mail Stop New Application PO Box 1450 Alexandria, VA 22313-1450	<i>Title</i>	<b>CAPACITOR-BASED POWERING SYSTEM AND ASSOCIATED METHODS</b>
	<i>Express Mail No.</i>	<b>EV 342457007 US</b>

#### APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. ☒ Fee Transmittal Form PTO/SB/17 (submit in duplicate)
2. ☒ Applicant claims small entity status. See 37 CFR 1.27.
3. ☐ Specification [Total Pages:
4. ☒ Drawing(s) (35 USC 113) [Total Sheets: 6]
5. ☒ Oath or Declaration [Total Pages: 
  - a. ☐ Newly executed (original or copy)
  - b. ☒ Copy from a prior application (37 CFR 1.63(d))  
(for continuation/divisional with No. 17 completed)
6. ☐ [Note No. 6 below]  
i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).  
Incorporation By Reference (useable if No. 5b is checked)  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under No. 5b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
7. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy (CRF)
  - b. ☐ Specification Sequence Listing on:
    - i. ☐ CD-ROM or CD-R (2 copies); or
    - ii. ☐ paper
  - c. ☐ Statement verifying identity of above copies
8. ☐ Application Data Sheet. See 37 CFR 1.76
9. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

#### ACCOMPANYING APPLICATION PARTS

10. ☒ Assignment Papers (cover sheet & document(s))
11. ☒ 37 CFR 3.73(b) Statement (when there is an assignee)
12. ☒ Power of Attorney
13. ☐ English Translation Document (if applicable)
14. ☒ Information Disclosure Statement (IDS)/PTO-1449  
☒ Copy of IDS Citations
15. ☒ Preliminary Amendment
16. ☒ Return Receipt Postcard (Itemized)
17. ☐ Certified Copy of Priority Document(s)  
(if foreign priority is claimed)
18. ☐ Other:

15535 U.S. PTO  
10/731706  
120903

19. If a CONTINUING APPLICATION, check appropriate blank and supply the requisite information:

XXX Continuation of prior application No.: 09/938,796 filed August 24, 2001  
Divisional Prior Application Information:  
Continuation-in-part (CIP) Examiner: \_\_\_\_\_ Group Art Unit: \_\_\_\_\_

<b>20. Correspondence Address</b>					
X Customer Number or Bar Code Label:			X Correspondence address below		
Customer No. 33940					
Name	Attention: Jeffrey S. Whittle Bracewell & Patterson, L.L.P.				
Address	P. O. Box 61389				
City	Houston	State	Texas	Zip Code	77208-1389
Country	U.S.A.	Telephone	(713) 223-2900	Fax	(713) 221-1212

Submitted by:

Jeffrey S. Whittle, Reg. No. 36,382

Date: December 9, 2003

<div style="display: flex; align-items: center;"> <div> 17698 U.S. PTO 120903 </div> </div> <div style="text-align: center; margin-top: 10px;"> <b>FEE TRANSMITTAL</b>  <b>FOR FY 2004</b> </div>		PTO/SB/17(1-03)  <b>Complete if Known</b>																																																																																																																																																																																													
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2. <input checked="" type="checkbox"/> The commissioner is hereby authorized to charge indicated fees and credit any overpayments to:  Deposit Account No.: <u>50-0259</u> Deposit Account Name: <u>Bracewell &amp; Patterson, LLP</u> <input checked="" type="checkbox"/> Charge any additional Fee Required Under 37 CFR §§ 1.16 & 1.17 <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR § 1.27		Group/Art Unit																																																																																																																																																																																													
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Submitted by: Jeffrey S. Whittle  
Bracewell & Patterson, L.L.P.  
P.O. Box 61389  
Houston, Texas 77208-1389

Registration No. 36,382

Telephone: 713/221-1185  
Fax: 713/221-2141

Signature: \_\_\_\_\_

Date: December 9, 2003

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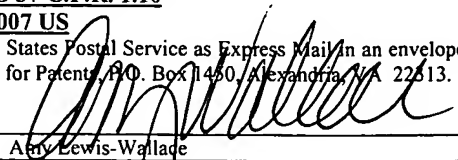
**CERTIFICATE OF MAILING 37 C.F.R. 1.10**

**LABEL # EV 342457007 US**

I hereby certify that this correspondence is being deposited with the United States Postal Service as Express Mail in an envelope on the date indicated, addressed to Mail Stop New Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313.

December 9, 2003

Date

  
Amy Lewis-Wallace

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of:	)	
Purkey	)	Confirmation No.
	)	
Serial No.: CON of 09/938,796	)	Examiner:
filed August 24, 2001	)	
	)	
Filed: Herewith	)	Group Art Unit:
	)	
Title: Capacitor-Based Powering System and	)	Attorney Docket No. 066259.010
Associated Methods	)	

**TRANSMITTAL SHEET**

Mail Stop New Application  
Commissioner for Patents  
PO Box 1450  
Alexandria, VA 22313

Sir:

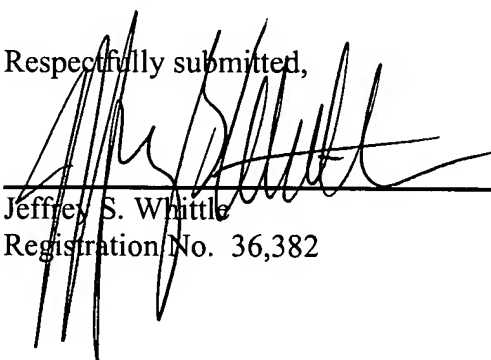
Transmitted herewith for reconsideration are the following documents:

1. Utility Patent Application Transmittal and Fee Transmittal;
2. Copy of Non-Provisional Application filed August 24, 2001;
3. Copy of Declaration and Power of Attorney
4. Copy of Assignment/Recordation;
5. Preliminary Amendment;
6. Information Disclosure Statement and Form PTO-1449 and copy of each citation;
7. Check in the amount \$385.00;
8. Postcard; and
9. Transmittal in duplicate.

The Commissioner is authorized to charge any additional fees to the Deposit Account of Bracewell & Patterson, L.L.P. 50-0259 (Attorney Docket No. 066259.010).

Respectfully submitted,

Date: December 9, 2003

  
Jeffrey S. Whittle  
Registration No. 36,382

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